

06-01-01

A

REV. 04/01
For A Small Entity

Docket No. NOVA-14

Applicant(s) : Yu-Hwa Lo et al.

For : SURFACE-EMITTING LASER DEVICES WITH
INTEGRATED BEAM-SHAPING OPTICS AND
POWER-MONITORING DETECTORS

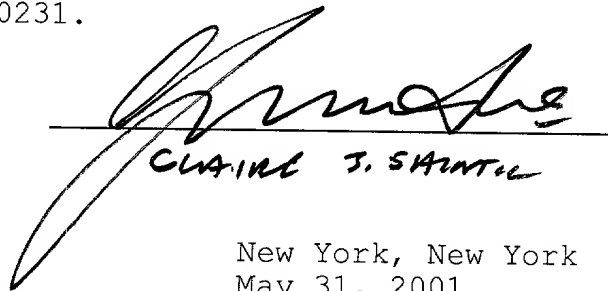
EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EK170572970US.

Date of Deposit May 31, 2001.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231.

Hon. Commissioner
for Patents
Washington, D.C. 20231


CLAIRE T. SHANTEL
New York, New York
May 31, 2001

TRANSMITTAL LETTER FOR UNEXECUTED
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the
[X] specification; [X] claims; [X] abstract; [X] unexecuted
declaration, for the above-identified patent application.

Also transmitted herewith are:

[] 6 (six) sheets of:

[] Formal drawings.

05/31/01
JC887 U.S. PRO

JC978 U.S. PRO
09/871229
05/31/01

05/31/01 09/871229

☒ Informal drawings. Formal drawings will be filed during the pendency of this application.

☐ Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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from which priority is claimed.

☐ An assignment of the invention to _____
_____.

☐ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

☐ An associate power of attorney.

☒ Small Entity Status is respectfully requested.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$355.00
TOTAL CLAIMS	45	- 20 = 25	X \$ 9 =	\$225.00
INDEPENDENT CLAIMS	8	- 3 = 5	X \$40 =	\$200.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS				+ \$135 = \$

TOTAL \$780.00

[X] A check in the amount of \$780.00 in payment of the filing fee is transmitted herewith.

[] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).

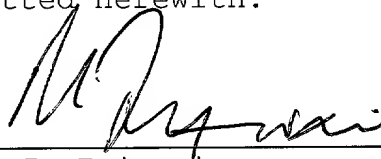
[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Amend the specification by inserting before the first line the sentence: -- This is a [] continuation-in-part, of application No.: _____, filed

entitled _____

_____.

[] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.



Manu J. Tejawani
Registration No. 37,952
Attorney(s) for Applicant(s)

FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000